

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nobuyuki KOJIMA et al.

Serial No.: 10/576,812

Filed: April 24, 2006

Title: VIBRATION WAVE DRIVEN
APPARATUS AND VIBRATOR

Group Art Unit: 2834

Examiner:

Attorney Docket No.: CANO:336

Confirmation No. 9042

VIA EFS - WEB

MAIL STOP: MISSING PARTS
COMMISSIONER FOR PATENTS
P.O. Box 1450
ALEXANDRIA, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Sirs:

A corrected filing receipt is hereby requested in view of errors which appear in the original; namely, the inventors' residences are incorrect. Inventor Nobuyuki KOJIMA's residence should be as follows: Machida-shi, Japan and inventor Satoru KITAJIMA's residence should be as follows: Kawasaki-shi, Japan. For the convenience of the Patent and Trademark Office, attached are a photocopy of the original filing receipt on which the error has been noted in black and a photocopy of the original Declaration indicating the correct residences for the two inventors.

Respectfully submitted,

ROSSI, KIMMS & McDOWELL LLP

MARC A. ROSSI
REG. NO. 31,923

DATE

01/02/07

P.O. Box 826
ASHBURN, VA 20146-0826
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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: P.O. Box 1450
 Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (C) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/576,812	04/24/2006	2834	900	CANO:336	7	17	2

37013
 ROSSI, KIMMS & McDOWELL LLP.
 P.O. BOX 826
 ASHBURN, VA 20146-0826



CONFIRMATION NO. 9042

FILING RECEIPT



"OC000000021711758"

Date Mailed: 12/22/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Nobuyuki Kojima, (Tokyo, JAPAN;
 Satoru Kitajima, (Kanagawa-ken), JAPAN; → Machida -shi
 → Kawasaki -shi

Assignment For Published Patent Application

Canon Kabushiki Kaisha, Ohta-ku, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 37013.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP05/10989 06/09/2005

Foreign Applications

JAPAN 2004-171641 06/09/2004

If Required, Foreign Filing License Granted: 12/21/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/576,812**

Projected Publication Date: 03/29/2007

Non-Publication Request: No

ENTERED
 1/8/2007
 KN

Early Publication Request: No

Title

Vibration wave driven apparatus and vibrator

Preliminary Class

310

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted

under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/576,812	Nobuyuki Kojima	CANO:336
INTERNATIONAL APPLICATION NO.		
PCT/JP05/10989		
37013	I.A. FILING DATE	PRIORITY DATE
ROSSI, KIMMS & McDOWELL LLP. P.O. BOX 826 ASHBURN, VA 20146-0826	06/09/2005	06/09/2004

CONFIRMATION NO. 9042

371 ACCEPTANCE LETTER



OC000000021711759

Date Mailed: 12/22/2006

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<u>04/24/2006</u>	<u>04/24/2006</u>
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS	DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 04/24/2006
- Copy of the International Search Report filed on 04/24/2006
- Information Disclosure Statements filed on 04/24/2006
- Oath or Declaration filed on 04/24/2006
- Request for Immediate Examination filed on 04/24/2006
- U.S. Basic National Fees filed on 04/24/2006
- Assignment filed on 04/24/2006
- Priority Documents filed on 04/24/2006
- Specification filed on 04/24/2006
- Claims filed on 04/24/2006
- Abstracts filed on 04/24/2006

- Drawings filed on 04/24/2006

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

PATRICIA A BOOKER
Telephone: (703) 308-9140 EXT 204

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)

**COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**
(page 1)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

VIBRATION WAVE DRIVEN APPARATUS AND VIBRATOR

the specification of which is attached hereto; or was filed on _____ as United States Application No. or PCT International Application No. _____ and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I hereby claim foreign priority benefits under 35 U.S.C. §119(a)-(d) or §365(b), of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT international application which designates at least one country other than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed:

<u>Country</u>	<u>Application No.</u>	<u>Filed (Day / Mo. / Yr.)</u>	<u>Priority Claimed(Yes / No)</u>
Japan	2004-171641(Pat.)	09/June/2004	Yes

I hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or §365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

<u>Application No.</u>	<u>Filed (Day/Mo/Yr.)</u>	<u>Status(Patented, Pending, Abandoned)</u>
PCT/JP2005/010989	09/June/2005	Pending

I hereby appoint the practitioners associated with the firm and Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number:

**ROSSI, KIMMS & McDOWELL LLP
Customer Number: 37013**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor: Nobuyuki KOJIMA

Inventor's signature: N.K. Nobuyuki Kojima

Date: April 17, 2006 Citizen/Subject of: Japan

Residence: Machida-shi, Tokyo, Japan

Post Office Address: CANON KABUSHIKI KAISHA

3-30-2, Shimomaruko, Ohta-ku, Tokyo, Japan

**COMBINED DECLARATION AND POWER OF ATTORNEY
FOR PATENT APPLICATION**

(page 2)

Full Name of Sole or Second Inventor: Satoru KITAJIMA

Inventor's signature: Satoru Kitajima

Date: April 17, 2006 Citizen/Subject of: Japan

Residence: Kawasaki-shi, Kanagawa-ken, Japan

Post Office Address: CANON KABUSHIKI KAISHA

3-30-2, Shimomaruko, Ohta-ku, Tokyo, Japan

Full Name of Sole or Third Inventor: _____

Inventor's signature: _____

Date: _____ Citizen/Subject of: _____

Residence: _____

Post Office Address: _____

Full Name of Sole or Fourth Inventor: _____

Inventor's signature: _____

Date: _____ Citizen/Subject of: _____

Residence: _____

Post Office Address: _____

Full Name of Sole or Fifth Inventor: _____

Inventor's signature: _____

Date: _____ Citizen/Subject of: _____

Residence: _____

Post Office Address: _____

Full Name of Sole or Sixth Inventor: _____

Inventor's signature: _____

Date: _____ Citizen/Subject of: _____

Residence: _____

Post Office Address: _____